Pipe Rings and Pipe Formal Groups

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References I

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Formal schemes can be used to detect local behaviour around a closed point.

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The category Sch of schemes does not have all limits and colimits.

Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups Pipe Formal groups Pipe Sings Pipe Formal groups

A scheme X means a representable functor from **Rings** to **Sets**, that is

$$X = \mathsf{Rings}(A, -) = \operatorname{Spec}(A)$$

for some A. The ring of functions is defined to be

$$\mathcal{O}_X = \mathbb{A}^1(X),$$

which is all maps from X to \mathbb{A}^1 .

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The category of schemes has limits,

$$\lim_{i} \operatorname{Spec}(A_i) = \operatorname{Spec}(\operatorname{colim} A_i)$$

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In general, for two formal schemes $X = \operatorname{colim} X_i$, $Y = \operatorname{colim}_j Y_j$, we define

$$[X, Y] = [\operatorname{colim} X_i, \operatorname{colim} Y_j] = \lim_i [X_i, \operatorname{colim} Y_j] = \lim_i \operatorname{colim} [X_i, Y_j].$$

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There is a kind of special formal schemes, coming from LRings.

Suppose R is a linearly topologized ring and S is a ring,

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We denote the full subcategory consisting of solid formal schemes by $\widehat{\mathfrak{X}}_{\textit{sol}}.$

Category of formal schemes Solid formal schemes Formal groups

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$$\mathcal{O}: \widehat{\mathfrak{X}}_{sol} \leftrightarrows \mathsf{FRing}^{op} : \mathrm{Spf}$$
$$\widehat{\bullet}: \mathsf{LRings} \leftrightarrows \mathsf{FRings}: i$$
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 $\widehat{\mathfrak{X}}_{sol}$ is closed under finite limits and has arbitrary colimits which may not be preserved by the inclusion into $\widehat{\mathfrak{X}}$.

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 $\widehat{\mathfrak{X}}_{sol}$ is closed under finite limits and has arbitrary colimits which may not be preserved by the inclusion into $\widehat{\mathfrak{X}}$.

Example: $\widehat{\mathbb{A}}^1 = \operatorname{Spf}(\mathbb{Z}\llbracket t \rrbracket), \ \widehat{\mathbb{A}}^1(R) = \operatorname{Nil}(R).$

Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups Pipe Formal Groups Formal groups

We say G is a formal group over X if

- $G\cong X imes \widehat{\mathbb{A}}^1$ and
- $\mu: G \times_X G \to G.$

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A coordinate x on G is an element in \mathcal{O}_G establishing the above isomorphism.

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A coordinate x on G is an element in \mathcal{O}_G establishing the above isomorphism.

 $f(x,y) = \mu^*(t) \in \mathcal{O}_X\llbracket x,y
rbracket$ is a formal group law.

Suppose $f : G \to H$ is a homomorphism over X/\mathbb{F}_p , x, y are coordinates,

$$f^*: \mathcal{O}_X\llbracket y \rrbracket \to \mathcal{O}_X\llbracket x \rrbracket.$$

We have $f^{*}(y) = g(x^{p^{n}})$.

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We define $\operatorname{Height}(f)$ to be *n* in the above equation. $\operatorname{Height}(G)$ is the height of

$$[p]: G \xrightarrow{\Delta} \underbrace{G \times_X \cdots \times_X G}_{p \ times} \xrightarrow{\mu} G.$$

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Proposition 1.1

Let $f : \mathbb{G} \to \mathbb{H}$ be a nonzero homomorphism over X with Height(\mathbb{G}) finite. Then Height(\mathbb{G}) = Height(\mathbb{H}) and Height(f) is finite.

F_X is the Frobenius.



 \mathbb{G} : coordinate x, formal group law g(x, x').

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 \mathbb{G} : coordinate x, formal group law g(x, x'). $F_X^*\mathbb{G}$: coordinate y, $g^{(p)}(y, y')$. Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups Pipe Formal Groups Formal groups

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$$F^*_{\mathbb{G}/X}(y) = x^p$$

ormal Schemes and Formal Groups	Category of formal schemes
Pipe Rings	
Pipe Formal Groups	Formal groups



 $\operatorname{Spf}(E_h^0)$ classifies deformations of a formal group G over k.

$$E_h^0 = W(k) \llbracket u_1, \cdots, u_{h-1} \rrbracket$$
Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups Pipe and Schemes Pipe rings Realization

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$$(L_{\mathcal{K}(h')}E_{h})^{0} = W(k)\llbracket u_{1}, \cdots, u_{h-1}\rrbracket [u_{h'}^{-1}]_{I_{h'}}^{\wedge},$$

where $I_{h'} = (p, u_{1}, \cdots, u_{h'-1}).$

Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups Pipe and Schemes and Formal Groups Pipe Formal Groups

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• This is not a complete local ring.

Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups Pipe Sormal Groups

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- Inverting topological nilpotent elements destroys the original topology.

Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups Pipe Sormal Groups

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where $I_{h'} = (p, u_1, \cdots, u_{h'-1}).$

- This is not a complete local ring.
- Inverting topological nilpotent elements destroys the original topology. For instance, inverting x in k[x], we have the field k((x)).

Goal:

 Construct a category such that the usual topology of profinite rings and their continuous maps contributes to a full subcategory of it.

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- Construct a category such that the usual topology of profinite rings and their continuous maps contributes to a full subcategory of it.
- 2 The maps

$$\pi_0 E_h \rightarrow \pi_0 L_{\mathcal{K}(h')} E_h \rightarrow \pi_0 L_{\mathcal{K}(h'')} L_{\mathcal{K}(h')} E_h \rightarrow \cdots$$

belongs to this category.

Formal Schemes and Formal Groups **Pipe Rings** Pipe Formal Groups

$\operatorname{Pipe}_{-1} :=$ the category of finite sets.

Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups

Pipe rings Realization

 $\operatorname{Pipe}_{-1} :=$ the category of finite sets. $\operatorname{Pipe}_{0} :=$ the category of Profinite sets. Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups Pipe Formal Groups

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 $\operatorname{Pipe}_n = \operatorname{Pro}(\operatorname{Ind}(\operatorname{Pipe}_{n-1})).$

Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups Realization

 $Pipe_{-1} :=$ the category of finite sets. $Pipe_0 :=$ the category of Profinite sets.

 $\operatorname{Pipe}_n = \operatorname{Pro}(\operatorname{Ind}(\operatorname{Pipe}_{n-1})).$

Ind(C) is the category with all filtered colimits added.

$$[\operatorname{colim}_{i} X_{i}, \operatorname{colim}_{j} Y_{j}] = \lim_{i} \operatorname{colim}_{j} [X_{i}, Y_{j}].$$

Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups Realization

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$$[\operatorname{colim}_{i} X_{i}, \operatorname{colim}_{j} Y_{j}] = \lim_{i} \operatorname{colim}_{j} [X_{i}, Y_{j}].$$

Pro(C) is the category with all cofiltered limits added.

$$[\lim_{i} X_i, \lim_{j} Y_j] = \lim_{j} \operatorname{colim}_i [X_i, Y_j]$$

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We have inclusions $\operatorname{Pipe}_{n-1} \to \operatorname{Pipe}_n$, and denote the colimit by $\operatorname{Pipe}_{\infty}$. Each Pipe_n has finite product preserved by th inclusion.

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We refer to pipe rings as ring objects in $\operatorname{Pipe}_\infty.$

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$$S \mapsto [1, S] = \underline{S}$$

called realization.

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called realization.

If R is a pipe ring, then \underline{R} is a ring.

This should be thought as a forgetful functor, which forgets topological structures and continuity of maps.

Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups Pipe Formal Groups

Every -1-Pipe and 0-Pipe is called fine. An *n*-Pipe Y is fine if $Y = \lim_{\alpha} \operatorname{colim}_{\beta}(Y_{\alpha})_{\beta}$

• Each $(Y_{\alpha})_{\beta}$ is fine and

Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups Pipe Tormal Groups

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Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups Pipe Formal Groups

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Every -1-Pipe is cofine.

An *n*-Pipe X is cofine if $X = \lim_{\lambda} \operatorname{colim}_{\mu}(X_{\lambda})_{\mu}$

- Each $(X_{\lambda})_{\mu}$ is cofine and
- $\underline{X} \to \underline{X_{\lambda}}$ is surjective.

Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups Pipe Formal Groups

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Fine and cofine are both preserved by inclusion $\operatorname{Pipe}_{n-1} \to \operatorname{Pipe}_n$.

Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups

Pipe rings Realization

Proposition 2.1

The realization functor is faithful if the source is cofine and target is fine.

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$$\begin{split} [X, Y] &= \lim_{\alpha} \operatorname{colim}_{\lambda} \lim_{\nu} \operatorname{colim}_{\beta} [(X_{\lambda})_{\nu}, (Y_{\alpha})_{\beta}] \\ &\subset \lim_{\alpha} \operatorname{colim}_{\lambda} \lim_{\nu} \operatorname{colim}_{\beta} [(X_{\lambda})_{\nu}, (Y_{\alpha})_{\beta}] \\ &\subset \lim_{\alpha} \operatorname{colim}_{\lambda} \lim_{\nu} [(X_{\lambda})_{\nu}, Y_{\alpha}] \\ &= \lim_{\alpha} \operatorname{colim}_{\lambda} [X_{\lambda}, Y_{\alpha}] \\ &\subset \lim_{\alpha} [X, Y_{\alpha}] \\ &= [X, Y]. \end{split}$$

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Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups

Pipe rings Realization

Pipe Dream

For every pipe X, there is an initial cofine pipe X^c over X, such that $X^c \to X$ induces an isomorphism $\underline{X^c} \to \underline{X}$. Dually, for every pipe Y, there is a terminal fine pipe Y^f under Y, which induces $\underline{Y} \to \underline{Y^f}$ an isomorphism. Finally, there is a class of maps W called weak equivalences, such that

$$\operatorname{Pipe}_{\infty}[W^{-1}](X,Y) = \operatorname{Pipe}_{\infty}(X^{c},Y^{f}).$$

Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups Pipe Formal Groups

For $x \in \underline{R}$, we have a map of pipe rings

$$x: R = 1 \times R \xrightarrow{(x,id)} R \times R \xrightarrow{\mu} R$$

Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups Pipe Formal Groups

For $x \in \underline{R}$, we have a map of pipe rings

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Hence inverting an element in underlying ring can be lifted as colimit on pipe rings.

$$x^{-1}R := \operatorname{colim}(R \xrightarrow{x} R \xrightarrow{x} R \to \cdots)$$

Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups Pipe Tings Realization

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$$x^{-1}R := \operatorname{colim}(R \xrightarrow{x} R \xrightarrow{x} R \to \cdots)$$

Taking completion in the underlying ring can also be lifted in pipe cases as a limit.

Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups Pipe Formal Groups

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 $\pi_0 L_{Kh'} E_h$ and its further localizations are bifine.

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\operatorname{Spp}(R) = \operatorname{Pipe Rings}_{\infty}(R, -).
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Restricting to -1 pipes and 0 pipes recovers Spec and Spf.

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$$\widehat{\mathbb{A}}_R^1 = \mathrm{Spp}(R[\![x]\!])$$
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A pipe formal group G over an n pipe R is an n+1 pipe, such that $G \cong \widehat{\mathbb{A}}_{R}^{1}$, and $\mu : G \times_{\mathrm{Spp}(R)} G \to G$.

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Restricting to -1 pipes and 0 pipes recovers Spec and Spf.

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$$\widehat{\mathbb{A}}_R^2 = \widehat{\mathbb{A}}_R^1 \times_{\operatorname{Spp}(R)} \widehat{\mathbb{A}}_R^1 \to \widehat{\mathbb{A}}_R^1$$

By Yoneda lemma, this yields a power series in $R[x_1, x_2]$.

$$[R[[x_1, x_2]], R[[x_1, x_2]]] \to [R[[x]], R[[x_1, x_2]]]$$

1 $\mapsto f(x_1, x_2)$

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We say G is of p height h, if R is complete with respect to some ideal I, and <u>I</u> contains p, a_i for $i < p^h$, and a_{p^h} is invertible in R/I.

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The pipe formal group over $\pi_0 L_{\mathcal{K}(h')} E_h$ has p height h'. $\pi_0 L_{\mathcal{K}(h_n)} \cdots L_{\mathcal{K}(h_1)} E_h$ is bifine. Formal Schemes and Formal Groups Pipe Rings A moduli problem Pipe Formal Groups

Staged Lubin-Tate moduli problem: fix $h = h_0 \ge \cdots \ge h_N$

$$R_0 \xrightarrow{i_1} R_1 \to \cdots \xrightarrow{i_N} R_N$$

where R_0 is a complete local ring with residue field k, R_i is an i pipe ring.
Formal Schemes and Formal Groups Pipe Rings A moduli problem Pipe Formal Groups

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where R_0 is a complete local ring with residue field k, R_i is an i pipe ring.



 F_k is of p height h_k with its associated formal group law pushing forward that of F_{k-1} along i_k .

Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups

Theorem 1

This moduli problem is discrete and corepresented by

$$\pi_0 E_h \to \pi_0 L_{\mathcal{K}(h_1)} E_h \to \cdots \to \pi_0 L_{\mathcal{K}(h_N)} \cdots L_{\mathcal{K}(h_1)} E_h.$$

Formal Schemes and Formal Groups Pipe Rings Pipe Formal Groups

Theorem 1

This moduli problem is discrete and corepresented by

$$\pi_0 E_h \to \pi_0 L_{\mathcal{K}(h_1)} E_h \to \cdots \to \pi_0 L_{\mathcal{K}(h_N)} \cdots L_{\mathcal{K}(h_1)} E_h.$$



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A moduli problem

Thank You!