

Homotopy theory for digraphs

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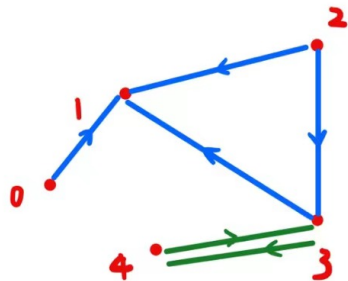
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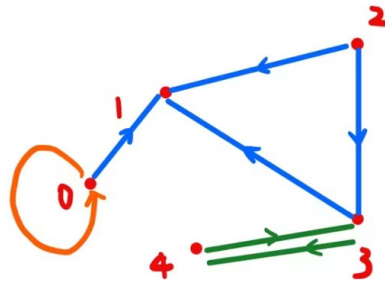
Basic definitions

Digraph

A digraph (which is also called directed graph) $G = (V, E)$ is a couple of a set V , whose elements are called vertices, and a subset $E \subset \{V \times V \setminus \text{diag}\}$ of ordered pairs of vertices that are called edges or arrows. If $v, w \in V$, $(v, w) \in E$ is also denoted by $v \rightarrow w$.



Digraph



Not a digraph
(Quiver)

Digraph map

A morphism from $G = (V_G, E_G)$ to $H = (V_H, E_H)$ is a map $f : V_G \rightarrow V_H$ such that for any edge $v \rightarrow w$ on G we have $f(v) \xrightarrow{\rightarrow} f(w)$ on H . (That is either $f(v) \rightarrow f(w)$ or $f(v) = f(w)$.) We will refer to such morphisms also as digraph maps and denote them by $f : G \rightarrow H$.

- The set of all digraphs with digraph maps form a category of digraphs that will be denoted by \mathcal{D} .

Elementary p -path

Let V be a finite set, for any $p \geq 0$, an elementary p -path is any ordered sequence i_0, \dots, i_p of $p + 1$ vertices of V denoted by $i_0 \cdots i_p$ or $e_{i_0 \cdots i_p}$.

- Fix a commutative ring \mathbb{K} with unity and denote by $\Lambda_p = \Lambda_p(v) = \Lambda(V, \mathbb{K})$ the free \mathbb{K} -module which consists of all formal \mathbb{K} -linear combinations of all elementary p -paths.
- Hence, each p -path has a form

$$v = \sum_{i_0, \dots, i_p} v^{i_0, \dots, i_p} e_{i_0 \cdots i_p},$$

where $v^{i_0, \dots, i_p} \in \mathbb{K}$.

Boundary operator

For any $p \geq 0$, the boundary operator $\partial : \Lambda_{p+1} \rightarrow \Lambda_p$ is defined by

$$\partial v = \sum_{i_0, \dots, i_p} \left(\sum_k \sum_{q=0}^{p+1} (-1)^q v^{i_0 \dots i_{q-1} k i_q \dots i_p} \right) e_{i_0 \dots i_p},$$

where $v = \sum_{i_0, \dots, i_{p+1}} v^{i_0, \dots, i_{p+1}} e_{i_0 \dots i_{p+1}}$.

- $\partial e_{j_0 \dots j_{p+1}} = \sum_{q=0}^{p+1} (-1)^q e_{j_0 \dots \hat{j}_q \dots j_{p+1}}$, $\partial^2 v = 0$ for any $v \in \Lambda_p$.
- Set $\Lambda_{-1} = \{0\}$ and $\partial v = 0$ for all $v \in \Lambda_0$ in case we need the operator $\partial : \Lambda_0 \rightarrow \Lambda_{-1}$.

Hence, the family of \mathbb{K} -modules $\{\Lambda_p\}_{p \geq -1}$ with the boundary operator ∂ determine a chain complex denoted by $\Lambda_*(V) = \Lambda_*(V, \mathbb{K})$.

Regular path

An elementary p -path $e_{i_0 \dots i_p}$ on a set V is called regular if $i_k \neq i_{k+1}$ for all $k = 0, \dots, p-1$, and irregular otherwise.

- Let I_P be the submodule of Λ_P that is \mathbb{K} -spanned by irregular $e_{i_0 \dots i_p}$, and $\partial I_p \subset I_{p-1}$.
- Consider the quotient $\mathcal{R}_p := \Lambda_p / I_p$, then the induced boundary operator $\partial : \mathcal{R}_p \rightarrow \mathcal{R}_{p-1}, p \geq 0$ is well-defined. Denote by $R_*(V)$ the obtained chain complex.

Allowed and ∂ -invariant path

Let $G = (V, E)$ be a digraph. An elementary p -path $i_0 \cdots i_p$ on V is called allowed if $i_k \rightarrow i_{k+1}$ for any $k = 0, \dots, p-1$, and non-allowed otherwise.

- Note that the modules \mathcal{A}_p are in general not invariant for ∂ . So we consider the submodules $\Omega_p := \{v \in \mathcal{A}_p, \partial v \in \mathcal{A}_{p-1}\}$, which are ∂ -invariant.
- Hence, we obtain a chain complex $\Omega_* = \Omega_*(G, \mathbb{K})$:

$$\cdots \xrightarrow{\partial} \Omega_p \xrightarrow{\partial} \Omega_{p-1} \xrightarrow{\partial} \cdots \xrightarrow{\partial} \Omega_1 \xrightarrow{\partial} \Omega_0 \xrightarrow{\partial} 0$$

Homologies of a digraph

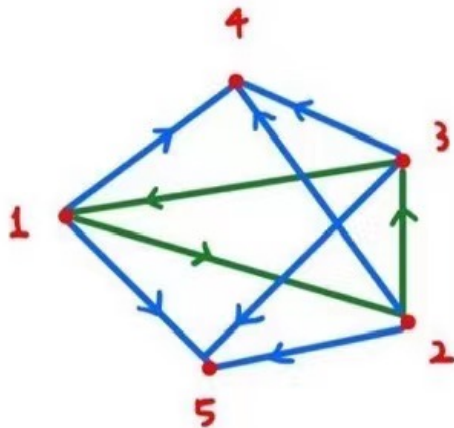
- Define for any $p \geq 0$ the homologies of the digraph G with coefficients from \mathbb{K} by

$$H_P(G, \mathbb{K}) = H_p(G) := H_p(\Omega_*(G)) = \ker \partial|_{\Omega_p} / \text{Im} \partial|_{\Omega_{p+1}}.$$

$$\cdots \xrightarrow{\partial} \Omega_p \xrightarrow{\partial} \Omega_{p-1} \xrightarrow{\partial} \cdots \xrightarrow{\partial} \Omega_1 \xrightarrow{\partial} \Omega_0 \xrightarrow{\partial} 0$$

Examples

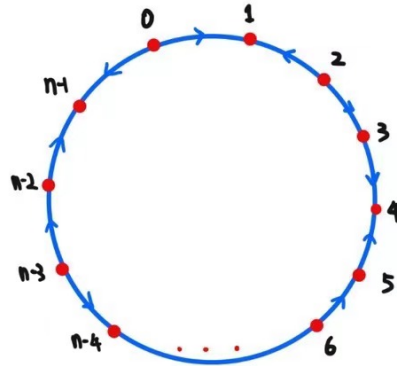
Example 1: Planar digraph with a nontrivial homology group H_2 .



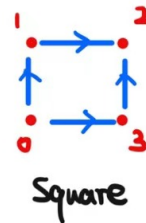
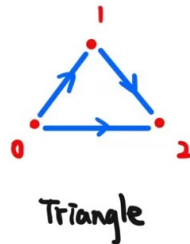
A direct computation:

$$H_1(G, \mathbb{K}) = \{0\}, \quad H_2(G, \mathbb{K}) \cong \mathbb{K}.$$

Example 2: Cycle digraph $S_n (n \geq 3)$.



$H_1(G, \mathbb{K}) \cong \mathbb{K}$ if S_n contains neither triangle nor square below.



Line digraph

A line digraph is a digraph whose vertices set is $\{0, 1, \dots, n\}$ and the set of edges contains exactly one of the edges $i \rightarrow (i + 1)$, $(i + 1) \rightarrow i$ for any $i = 0, 1, \dots, n - 1$, and no other edges.

Denote by \mathcal{I}_n the set of all line digraphs and \mathcal{I} the union of all \mathcal{I}_n .

Cartesian product

For two digraphs $G = (V_G, E_G)$ and $H = (V_H, E_H)$, define the Cartesian product $G \square H$ as a digraph with the set $V_G \times V_H$ and with the set of edges as follows: for $x, x' \in V_G$ and $y, y' \in V_H$, we have $(x, y) \rightarrow (x', y')$ in $G \square H$ iff either $x = x'$ and $y \rightarrow y'$, or $x \rightarrow x'$ and $y = y'$.

Homotopy theory for digraphs

Homotopy

Let G, H be two digraphs. Two digraph maps $f, g : G \rightarrow H$ are called homotopic if there exists a line digraph $I_n \in \mathcal{I}_n$ with $n > 1$ and a digraph map $F : G \square I_n \rightarrow H$ such that $F|_{G \square \{0\}} = f$ and $F|_{G \square \{n\}} = g$. The map F is called a homotopy between f and g .

Homotopy equivalent

Two digraphs G and H are called homotopy equivalent if there exists digraph maps $f : G \rightarrow H, g : H \rightarrow G$ such that $f \circ g \simeq id_H, g \circ f \simeq id_G$. The maps f and g are called homotopy inverses of each other.

Theorem

Let G and H be two digraph maps.

(i) Let $f, g : G \rightarrow H$ be two homotopic digraph maps, then these maps induce the identical homomorphisms of homology groups of G and H , that is: $f_* : H_p(G) \rightarrow H_p(H)$ and $g_* : H_p(G) \rightarrow H_p(H)$ are identical.

(ii) If the digraphs G and H are homotopy equivalent, then they have isomorphic homology groups.

Retraction

Let G be a digraph and H be its sub-digraph.

(i) A retraction of G onto H is a digraph map $r : G \rightarrow H$ such that $r|_H = id_H$.

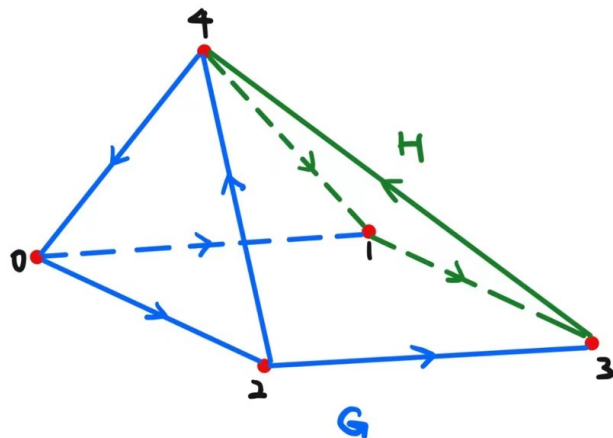
(ii) A retraction $r : G \rightarrow H$ is called a deformation retraction if $i \circ r \simeq id_G$, where $i : H \rightarrow G$ is the natural inclusion map.

Corollary

Let $r : G \rightarrow H$ be a retraction of a digraph G onto a sub-digraph H and $x \xrightarrow{\rightarrow} r(x)$ for all $x \in V_G$ or $r(x) \xrightarrow{\rightarrow} x$ for all $x \in V_G$. Then r is a deformation retraction, the digraphs G and H are homotopy equivalent, and i, r are their homotopy inverses.

Examples

Consider the following digraph G and its sub-digraph H .



Define a retraction $r : G \rightarrow H$ by $r(0) = 1, r(2) = 3, r|_H = id|_H$.

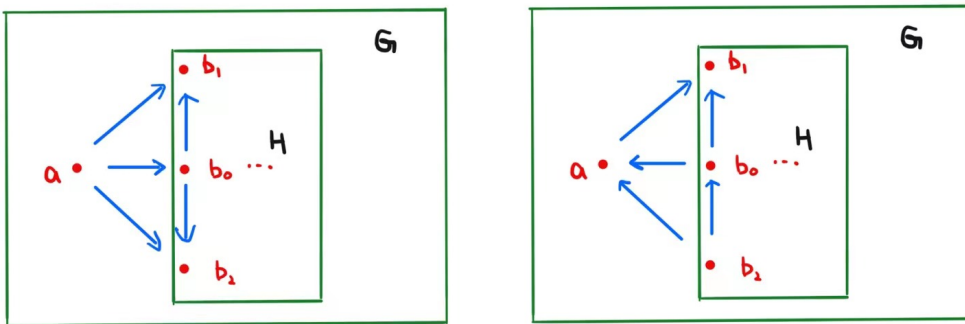
By corollary, r is a deformation retraction, whence, $G \simeq H$. And thus $H_1(G, \mathbb{K}) \cong H_1(H, \mathbb{K}) \cong \mathbb{K}$ and $H_p(H, \mathbb{K}) = \{0\}$ for $p \geq 2$.

Lemma

Let a be a vertex in a digraph G and $b_0, b_1, b_2, \dots, b_n$ be all the neighboring vertices of a in G . Assume that the following condition is satisfied:

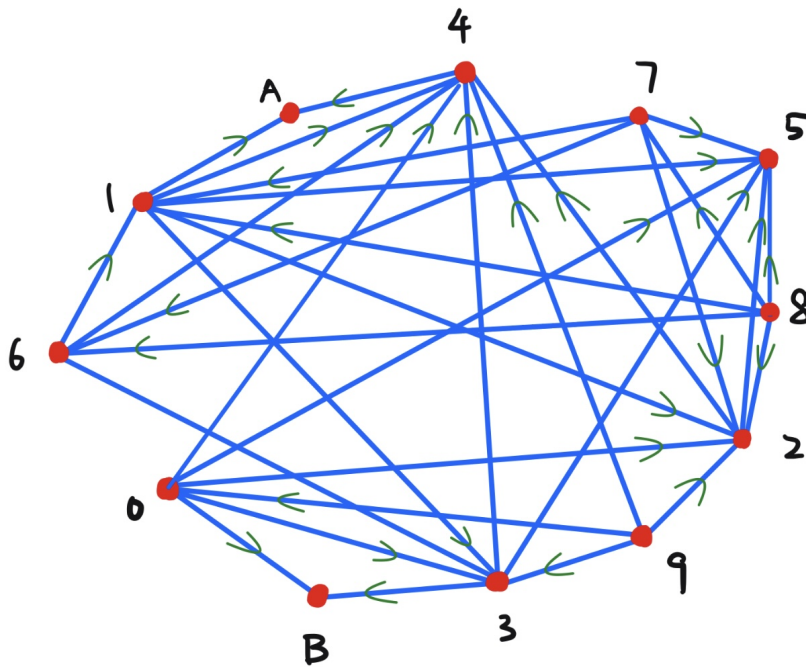
$$\forall i = 1, \dots, n : a \rightarrow b_i \Rightarrow b_0 \rightarrow b_i,$$

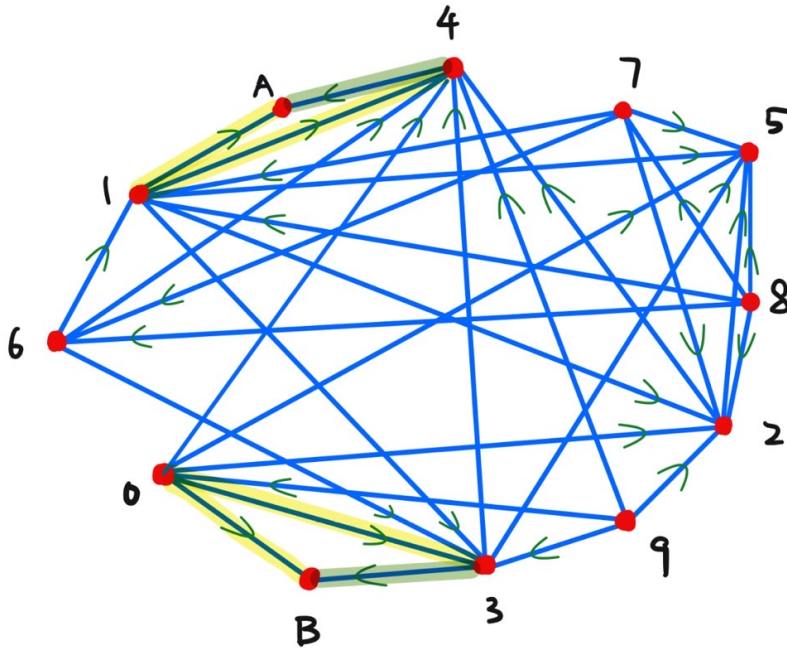
$$\forall j = 1, \dots, n : b_j \rightarrow a \Rightarrow b_j \rightarrow b_0.$$



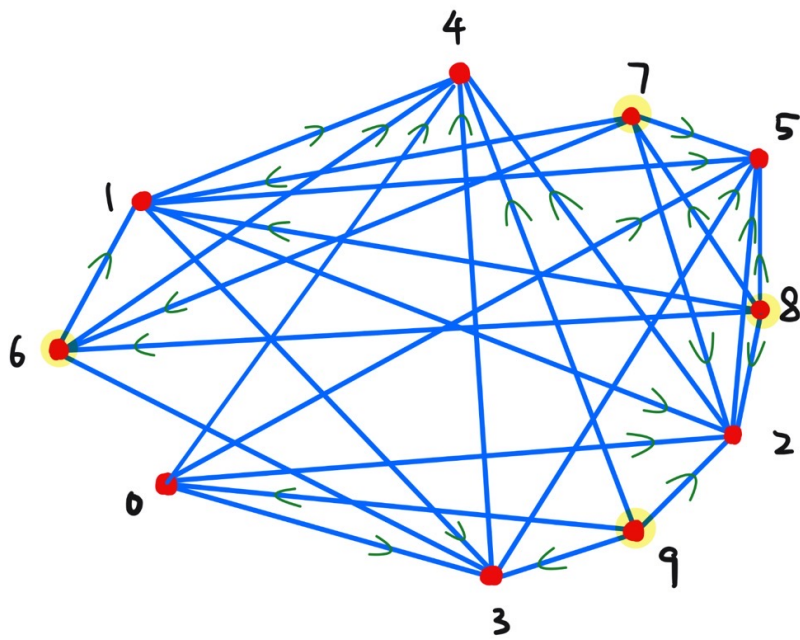
The map $r : G \rightarrow H$ given by $r(a) = b_0$ and $r|_H = id_H$ is a deformation retraction, whence $G \simeq H$.

Now consider homologies of a complicated digraph G .

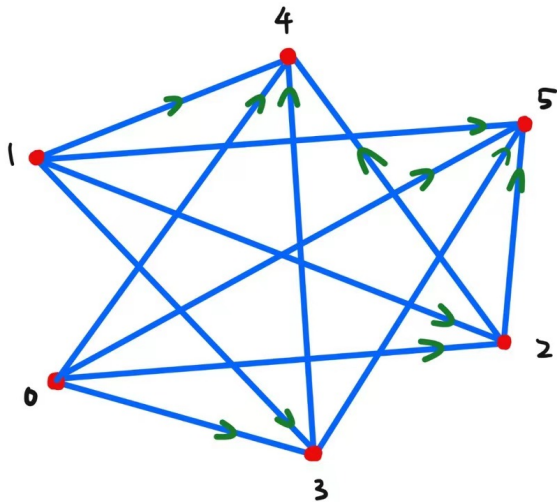




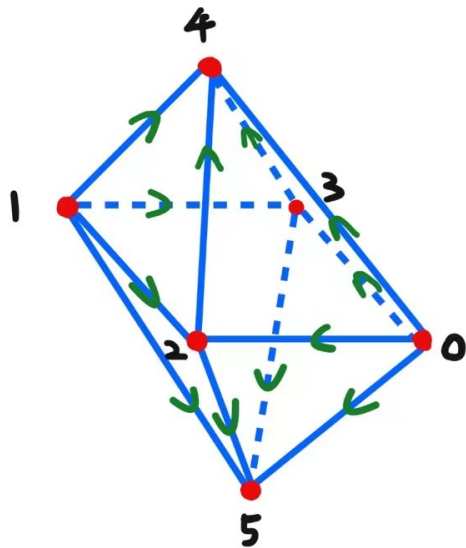
By the Lemma above, we can remove A, B and all their adjacent edges without changing the homologies of G , and thus simplify the computation.



Similarly, we can remove 6, 7, 8, 9 and all their adjacent edges.



H_1



H_2

$$H_p(G, \mathbb{K}) = H_p(H_1, \mathbb{K}) \begin{cases} = \{0\}, p = 1, p > 2. \\ \cong \mathbb{K}, p = 2. \end{cases} \quad (1)$$

Homotopy groups of digraphs

Based digraphs and based digraph maps

A based digraph G^* is a digraph G with a fixed base vertex $* \in V_G$. A based digraph map $f : G^* \rightarrow H^*$ is a digraph map $f : G \rightarrow H$ such that $f(*) = *$.

- Remark: A homotopy between two based digraph maps $f, g : G^* \rightarrow H^*$ is defined as digraph maps with additional requirement that $F|_{\{*\}} \square I_n = *$.

Construction of π_0

Let G^* be a based digraph, and $V_2^* = \{0, 1\}$ be the based digraph consisting of two vertices, no edges and with the base vertex $0 = *$.

$Hom(V_2^*, G^*)$ is defined to be the set of based digraph maps from V_2^* to G^* .

- Two digraph maps $\phi, \psi \in Hom(V_2^*, G^*)$ are equivalent if there exists $I_n \in \mathcal{I}$ and a digraph map $f : I_n \rightarrow G$ such that $f(0) = \phi(1)$ and $f(n) = \psi(1)$.
- And we denote by $[\phi]$ the equivalence class of the element ϕ , and by $\pi_0(G^*)$ the set of classes of equivalence with the base point $*$ given by a class of equivalence of the trivial map $V_2 \rightarrow * \in G^*$.

Proposition

Any based digraph map $f : G^* \rightarrow H^*$ induces a map $\pi_0(f) : \pi_0(G^*) \rightarrow \pi_0(H^*)$ of based sets. In particular, the homotopic maps induce the same map of based sets.

Proof of sketch:

- If $x \sim y$ in $\pi_0(G^*)$, then $\pi_0(f)(x) \sim \pi_0(f)(y)$ in $\pi_0(H^*)$.
- If $f \simeq g : G^* \rightarrow H^*$, then $\pi_0(f) = \pi_0(g)$.

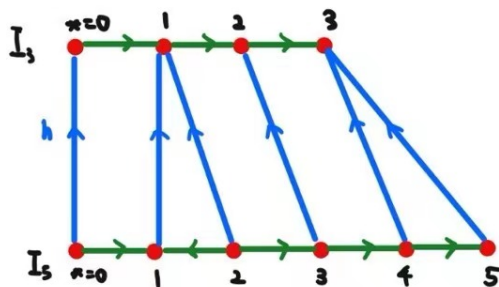
Construction of π_1

Path-map

A path-map in a digraph G is any digraph map $\phi : I_n \rightarrow G$, where $I_n \in \mathcal{I}_n$. If $\phi : I_n^* \rightarrow G^*$ satisfies $\phi(0) = *$, it is then called a based path-map.

Shrinking map

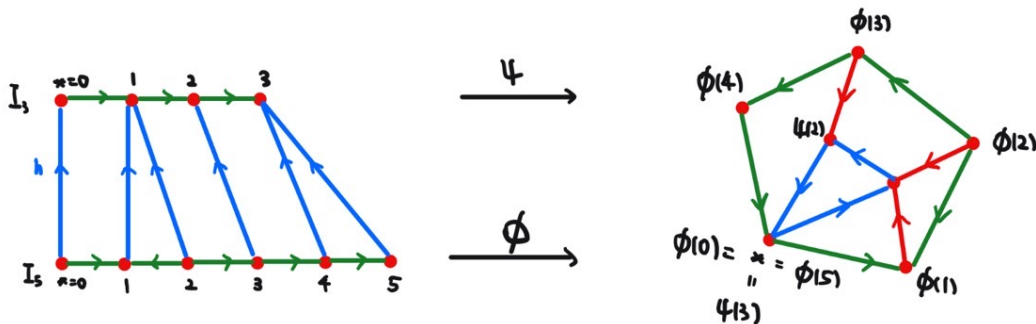
A digraph map $h : I_n \rightarrow I_m$ is called shrinking map if $h(0) = 0$, $h(n) = m$, and $h(i) \leq h(j)$ whenever $i \leq j$.



Construction of π_1

C-homotopy

Consider two based path-maps $\phi : I_n^* \rightarrow G^*$ and $\psi : I_m^* \rightarrow G^*$. An one-step C-homotopy from ϕ to ψ is given by a shrinking map $h : I_n \rightarrow I_m$ such that $\phi(i) \xrightarrow{\psi} \psi(h(i))$ for all $i \in I_n$.



Construction of π_1

C-homotopic

Two based path-maps ϕ, ψ in a digraph G are called C-homotopic if there exists a finite sequence $\{\phi_k\}_{k=0}^m$ of based path-maps such that $\phi_0 = \phi$, $\phi_m = \psi$, and for any $k = 0, \dots, m - 1$ there exists an one-step C-homotopy between ϕ_k and ϕ_{k+1} .

π_1 of a digraph

Let $\pi_1(G^*)$ be a set of equivalence classes under C-homotopy of based loops of a digraph G^* . The C-homotopy class of a based loop ϕ will be denoted by $[\phi]$.

Group Structure in π_1

- For two path-map $\phi : I_n \rightarrow G$ and $\psi : I_m \rightarrow G$ with $\phi(n) = \psi(0)$ define the concatenation path-map $\phi \vee \psi : I_{m+n} \rightarrow G$ by

$$(\phi \vee \psi)(i) = \begin{cases} \phi(i), & 0 \leq i \leq n. \\ \psi(i - n), & n \leq i \leq n + m. \end{cases} \quad (2)$$

For any two loops $\phi : I_n^* \rightarrow G^*$ and $\psi : I_m^* \rightarrow G^*$ define the product of $[\phi]$ and $[\psi]$ by $[\phi] \cdot [\psi] = [\phi \vee \psi]$.

- For a path-map $\phi : I_n \rightarrow G$ define the inverse path-map $\hat{\phi} : \hat{I}_n \rightarrow G$ by $\hat{\phi}(i) = \phi(n - i)$.
- For any loop $\phi : I_n^* \rightarrow G^*$ we have $\phi \vee \hat{\phi} \stackrel{C}{\simeq} e$ where $e : I_0^* \rightarrow G^*$ is the trivial loop.

Theorem

Let G, H be digraphs.

- (i) The set $\pi_1(G^*)$ with the product and neutral element $[e]$ is a group.
- (ii) A based digraph map $f : G^* \rightarrow H^*$ induces a group homomorphism $\pi_1(f) : \pi_1(G^*) \rightarrow \pi_1(H^*)$, $(\pi_1(f))[\phi] \mapsto [f \circ \phi]$, which depends only on homotopy class of f .
- (iii) Let $\gamma : I_k^* \rightarrow G^*$ be a based path-map with $\gamma(k) = v$. Then γ induces an isomorphism of fundamental groups $\gamma_{\#} : \pi_1(G^*) \rightarrow \pi_1(G^v)$, which depends only on C-homotopy class of the path-map γ .

Relation between H_1 and π_1

Theorem

Let G, H be two connected digraphs. If $G \simeq H$ then the fundamental groups $\pi_1(G^*)$ and $\pi_1(H^*)$ are isomorphic.

Theorem

For any based connected digraph G^* we have an isomorphism

$$\pi_1(G^*) / [\pi_1(G^*), \pi_1(G^*)] \cong H_1(G, \mathbb{Z}),$$

where $[\pi_1(G^*), \pi_1(G^*)]$ is a commutator subgroup.

Some applications of digraphs

- Sperner coloring.
- Topological data analysis.

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