

# Operads and the Recognition Principles

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SUSTech

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- 1 Loop spaces and  $A_\infty$ -structures
- 2  $n$ -fold loop spaces and symmetric operads
- 3 Applications to algebraic  $K$ -theory
- 4 The monadic interpretation

# Monoid structures

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*A monoid structure on a set  $X$  consists of a map*

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- 1  $M(1)$  is the identity map,
- 2 the set  $\{M(k)\}_{k \geq 0}$  is closed under multi-variable compositions.

# Homotopical monoid structures on loop spaces

A monoid structure on a set is “too rigid” in homotopy theory.

## Example

Let  $Z$  be a based space. The space of based loops on  $Z$  is denoted by  $\Omega Z$ . For each  $r \in (0, 1)$ , we can define a multiplication

$$M_r: \Omega Z \times \Omega Z \rightarrow \Omega Z$$

such that  $[0, r]$  encodes the first loop and  $[r, 1]$  encodes the second loop. Similarly, given  $n$  disjoint subintervals of  $I$ , we can define a multiplication

$$(\Omega Z)^n \rightarrow \Omega Z$$

Note that any two choices of  $n$  disjoint subintervals of  $I$  will give homotopic multiplications.

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Let  $\mathcal{A}(k)$  be the set that consists of sets of  $k$  disjoint subintervals of  $I$ .  
Note that we have an embedding

$$\mathcal{A}(k) \rightarrow \mathbb{R}^{2k}$$

by listing the  $2k$  endpoints of the given  $k$  disjoint subintervals.



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In other words,  $n$ -ary operations on  $\Omega Z$  are governed by a space instead of a single map.

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## Proposition

*If  $Y = \Omega Z$  for some  $Z$  then there is a family of subspaces  $\mathcal{M}(k) \subset \text{Map}(Y^k, Y)$  such that*

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- ① *A collection of spaces  $\{\mathcal{O}(k)\}_{k \geq 0}$  with suitable coherent conditions,*
- ② *A collections of maps*

$$\mathcal{O}(k) \rightarrow \text{Map}(Y^k, Y)$$

# The notion of non-symmetric operads

## Definition

A **non-symmetric operad**  $\mathcal{O}$  is a collection of spaces  $\{\mathcal{O}(k)\}_{k \geq 0}$  together with an element  $1 \in \mathcal{O}(1)$  and maps

$$\gamma: \mathcal{O}(k) \times \mathcal{O}(j_1) \times \cdots \times \mathcal{O}(j_k) \rightarrow \mathcal{O}(j_1 + \cdots + j_k)$$

for each choice of  $k, j_1, \dots, j_k \geq 0$  such that

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- 1  $\gamma(1, s) = s$  and  $\gamma(s, 1, \dots, 1) = s$  for each  $k$  and  $s \in \mathcal{O}(k)$
- 2 The collection is coherent under multi-variable compositions.

# The diagram of coherence of multi-variable compositions

$$\begin{array}{ccc}
 \mathcal{O}(k) \times \prod_{m=1}^k (\mathcal{O}(j_m) \times \prod_{n=1}^{j_m} \mathcal{O}(i_{mn})) & \xrightarrow{1 \times \gamma} & \mathcal{O}(k) \times \prod_{m=1}^k \mathcal{O}(i_{m1} + \cdots + i_{mj_m}) \\
 \downarrow = & & \downarrow \gamma \\
 (\mathcal{O}(k) \times \prod_{m=1}^k \mathcal{O}(j_m)) \times \prod_{m,n} \mathcal{O}(i_{mn}) & & \\
 \downarrow \gamma \times 1 & & \\
 \mathcal{O}(j_1 + \cdots + j_k) \times \mathcal{O}(i_{11}) \times \cdots \times \mathcal{O}(i_{kj_k}) & \xrightarrow{\gamma} & \mathcal{O}(i_{11} + \cdots + i_{kj_k})
 \end{array}$$

## Definition

A morphism between operads  $\mathcal{O}$  and  $\mathcal{O}'$  is a collection of continuous map  $f_k: \mathcal{O}(k) \rightarrow \mathcal{O}'(k)$  such that they form functors between the above type of diagrams.

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## Example

If  $Y$  is any space, then the collection

$$\{\text{Map}(Y^k, Y)\}$$

form a non-symmetric operad called the **endomorphism operad** of  $Y$  and is denoted by  $\mathcal{E}\text{nd}_Y$ .

# The action of operads on spaces

## Definition

Let  $\mathcal{O}$  be a non-symmetric operad and let  $Y$  be a space. An action of  $\mathcal{O}$  on  $Y$  is a morphism between operads

$$\theta: \mathcal{O}(k) \rightarrow \mathcal{E}nd_Y$$

More precisely, by adjunction, it is to assign

$$\theta: \mathcal{O}(k) \times Y^k \rightarrow Y$$

for each  $k \geq 0$  with coherent conditions.



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## Definition

The action of  $\mathcal{O}$  on  $Y$  is **group-like** if the monoid  $\pi_0 Y$  is a group. We say that  $Y$  is an  **$\mathcal{O}$ -space**.

# The recognition principle for $A_\infty$ -spaces

## Definition

*An  $A_\infty$  operad is a non-symmetric operad  $\mathcal{O}$  such that each space  $\mathcal{O}(k)$  is weakly equivalent to a point.*

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## Theorem (Recognition principle)

*$Y$  is weakly equivalent to  $\Omega Z$  for some space  $Z$  if and only if  $Y$  has a group-like action of an  $A_\infty$  operad.*

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- 4  $\pi_n(X, *) = \pi_0(\Omega^n X, *)$  has higher commutativity for  $n > 2$ ?

$$X, \Omega X, \Omega^2 X, \Omega^3 X, \dots, \Omega^n X, \dots$$

**The level of commutativity increases as  $n$  increases intuitively, but why? How should we describe this phenomenon precisely?**

# Observation: Why higher homotopy groups are always commutative

Given  $[f], [g] \in \pi_2(X, *)$ , i.e.  $f, g: S^2 \rightarrow X$ , the group operation on  $\pi_2(X, *)$  is defined by

$$S^2 \xrightarrow{c} S^2 \vee S^2 \xrightarrow{f \vee g} X$$

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It is homotopy to the identity because we have an extra dimension to move the cubes, while we do not have such a space to move intervals in the dimension-1 case.

# Symmetric operads

Recall that little intervals operads control  $\Omega Z$ , what kinds of operads will control  $\Omega^n Z$ ?

## Definition

*An operad is a (symmetric) operad  $\mathcal{O}$  together with, for each  $k$ , there is a right action of  $\Sigma_k$  on  $\mathcal{O}(k)$  and the coherent diagram is  $\Sigma$ -equivariant.*

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*Given an operad  $\mathcal{O}$  and a space  $Y$ , the action of operad  $\mathcal{O}$  on  $Y$  is an equivariant morphism  $\mathcal{O} \rightarrow \mathcal{E}nd_Y$ . More precisely, the morphism*

$$\mathcal{O}(k) \times Y^k \rightarrow Y$$

*factors through  $\mathcal{O}(k) \times_{\Sigma_k} Y^k$  for each  $k$ .*

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## Definition

An  $A_\infty$ -**operad**  $\mathcal{O}$  is a  $\Sigma$ -free operad such that there exists a local  $\Sigma$ -equivalence  $\mathcal{O} \rightarrow \mathcal{M}$  i.e. a morphism of non-symmetric morphism such that  $\mathcal{O}(k) \rightarrow \Sigma(k)$  is an  $\Sigma_k$ -equivariant weak homotopy equivalence for each  $k$ .

An  $E_\infty$  operad is a  $\Sigma$ -free operad such that each  $\mathcal{O}(j)$  is contractible.

# The little $n$ -cubes operads

## Definition

A **TD-map**  $f: I^n \rightarrow I^n$  is a composition  $T \circ D$ , where  $T$  is a translation and  $D$  is a dilation (i.e. multiplication by scalars). More precisely,  $f = f_1 \times \cdots \times f_j$ , where  $f_i: I \rightarrow I$  is a linear function  $t \mapsto (y_i - x_i)t + x_i$  for some  $0 \leq x_i < y_i < 1$ .

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## Definition

Given  $n \geq 0$ , we let  $\mathcal{C}_n(k)$  be the space consisting of  $k$ -tuple  $(j_1, \dots, j_k)$  of TD-maps with disjoint images, which is a subset of  $\text{Map}(\sqcup_k I^n \rightarrow I^n)$  and inherits the compact-open topology. The collection  $\{\mathcal{C}_n(k)\}_{k \geq 0}$  forms an operad called **the little  $n$ -cubes operad**, whose  $\Sigma$ -action structure maps are given evidently.

# The action of little $n$ -cubes operads on loop spaces

## Theorem

Given any space  $X$ ,  $\Omega^n X$  is a  $\mathcal{C}_n$ -space.

We define  $\theta_{n,j}: \mathcal{C}_n(j) \times (\Omega^n X)^j \rightarrow \Omega X$  as follows

$$\theta_{n,j}(c, y)(v) = \begin{cases} y_r(u) & \text{if } c_r(u) = v \\ * & \text{if } v \notin \text{im } c \end{cases}$$

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## Remark

If  $X = \Omega X'$ , then  $\theta_n = \theta_{n+1} \circ \sigma_n$ , where  $\sigma_n: \mathcal{C}_n \rightarrow \mathcal{C}_{n+1}$  is given by  $c_j \mapsto c_j \times \text{id}$  and  $\theta_{n+1}$  is the action on  $\Omega^{n+1} X'$ .

# Computations on the little $n$ -cube operads

## Definition

Let  $M$  be an  $n$ -dimensional manifold. The  $j$ -th **configuration space**  $F(M; j)$  of  $M$  is defined to be

$$\{(x_1, \dots, x_j) \mid x_r \in M, x_r \neq x_s \text{ if } s \neq t\} \subset M^j$$

with subspace topology. Note that  $F(M; j)$  is a  $jn$ -dimensional manifold and  $F(M; j)$  with  $\Sigma_j$ -free right action.



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A map  $g: \mathcal{C}_n(j) \rightarrow F(I^n; j)$  is defined by

$$g(c_1, \dots, c_j) = (c_1(p), \dots, c_j(p)), \text{ where } p = \left(\frac{1}{2}, \dots, \frac{1}{2}\right)$$

# Computations on the configuration spaces

## Theorem

Let  $M$  be an  $n$ -dimensional manifold  $n \geq 2$ . Let  $Y_r \in F(M; r)$ . We define

$$\begin{aligned}\pi_r : F(M - Y_r; j - r) &\longrightarrow M - Y_r \\ (x_1, \dots, x_{j-r}) &\longmapsto x_1\end{aligned}$$

Then  $\pi_r$  is a fibration with fiber  $F(M - Y_{r+1} - \{y_{r+1}\}; j - r - 1)$  over the point  $y_{r+1}$  and admits a cross-section if  $r \geq 1$ .

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## Corollary

If  $n \geq 3$ , then  $\pi_i F(\mathbb{R}^n; j) = \sum_{r=1}^{j-1} \pi_i(\bigvee^r S^{n-1})$ ;  $\pi_i F(\mathbb{R}^2; j) = 0$  for  $i \neq 1$  and  $\pi_1 F(\mathbb{R}^2; j)$  is constructed from the free groups  $\pi_1(\bigvee^r S^1)$ .

# $E_n$ -operads and the recognition principle for $\mathcal{C}_n$ -spaces

## Corollary

$\mathcal{C}_1$  is an  $A_\infty$  operad and  $\mathcal{C}_n$  is a locally  $(n - 2)$ -connected  $\Sigma$ -operad.

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We can view  $\mathcal{O}_n$  as the space of commutativity. Indeed, higher fold loop spaces will have better commutativity since their spaces of commutativity are more connected.

# $E_n$ -operads and the recognition principle for $\mathcal{C}_n$ -spaces

## Corollary

$\mathcal{C}_1$  is an  $A_\infty$  operad and  $\mathcal{C}_n$  is a locally  $(n - 2)$ -connected  $\Sigma$ -operad.

## Definition

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## Theorem (The recognition principle)

$Y$  is weakly homotopy equivalent to  $\Omega^n Z$  if and only if  $Y$  is a group-like  $E_n$ -space.



- 1 Loop spaces and  $A_\infty$ -structures
- 2  $n$ -fold loop spaces and symmetric operads
- 3 Applications to algebraic  $K$ -theory**
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# Why Quillen's higher $K$ -theory is a generalized cohomology theory

Roughly speaking, generalized cohomology theories = spectra via Brown's representability. Thus the problem is why Quillen's  $K$ -theory space is actually a spectrum.

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## Theorem

*Suppose  $E$  is a symmetric monoidal category. Then the classifying space of  $E$  is an  $E_\infty$ -space.*

# Group completions and the plus construction

Given a commutative ring  $R$ , Quillen's higher  $K$ -theory for  $K(R)$  is built from the classifying space of the category of finite projective  $R$ -modules. This space is denoted by  $BGL(R)$  and it is an  $E_\infty$ -space.

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Quillen's plus construction  $BGL(R)^+$  is a kind of "higher group completion" on  $BGL(R)$ . The  $i$ -th  $K$ -group of  $R$  is defined to be  $\pi_i(BGL(R)^+)$  and  $BGL(R)^+$  is a group-like  $E_\infty$ -space.

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# The notion of monad

## Definition

A **monad**  $(C, \mu, \eta)$  in a category  $\mathcal{D}$  consists of covariant functor  $C: \mathcal{D} \rightarrow \mathcal{D}$  together with natural transformations of functors  $\mu: C^2 \rightarrow C$  and  $\eta: \text{id} \rightarrow C$  such that some evident diagrams commute.

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## Definition

Given a monad  $C$  on  $\mathcal{D}$ , a  **$C$ -algebra** is an object  $X \in \mathcal{D}$  together with a structure map  $\xi: CX \rightarrow X$  such that some evident diagrams commute.

# Operad=Operation+Monad

Given an operad  $\mathcal{C}$ . The associated monad  $(C, \mu, \eta)$  is constructed by

$$CX = \bigsqcup_{j \geq 0} \mathcal{C}(j) \times X^j / (\sim)$$

The relations consist of

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## Proposition

*Given an operad  $\mathcal{C}$  with associated monad  $C$ . Then the notion of a  $\mathcal{C}$ -space is equivalent to the notion of a  $C$ -algebra.*

# The insight of the recognition principle

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- 4 Passing to infinity by taking colimits, we have  $\alpha_\infty: C_\infty X \rightarrow \Omega^\infty \Sigma^\infty X$ .
- 5 Using techniques in cosimplicial spaces, such as two-side bar construction, we may have the delooping machinery from  $\alpha_n$  and  $\alpha_\infty$ .