

# Homotopy Coherence Problem and $\infty$ -categories

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# Motivation

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*Why homotopy is important?*

Theorem (Representability of ordinary cohomology)

*Let  $\pi$  be an abelian group,  $K(\pi, n)$  be the Eilenberg-MacLane space, and  $X$  is any topological space, we have a canonical isomorphism*

$$[X, K(\pi, n)] \cong H^n(X; \pi)$$

Theorem (Classification of principal  $G$ -bundles)

*Let  $G$  be a topological group and  $BG$  be the classifying space of  $G$ , then there is a 1-1 correspondence*

$$[X, BG] \cong \{ \text{Equivalent classes principal } G\text{-bundles on } X \}$$

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# Homotopies are paths in mapping spaces

Let  $\mathbb{S}\text{pace}$  be the category of spaces (spaces means CW-complexes or compactly-generated and weak Hausdorff spaces), where morphisms are continuous maps

## Definition (Mapping space)

*For any  $x, y \in \mathbb{S}\text{pace}$ ,  $\text{Hom}_{\mathbb{S}\text{pace}}(x, y)$  can be endowed with compact-open topology to be a space, we call it mapping space and denote it by  $\text{Maps}(x, y)$ .*

## Proposition

*There is a canonical correspondence*

$$\text{Maps}(x, \text{Maps}(y, z)) \cong \text{Maps}(x \times y, z)$$

In this way, let  $f, g \in \text{Maps}(x, y)$ , a homotopy from  $f$  to  $g$  is a path in  $\text{Maps}(x, y)$ , vice versa.

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# Homotopy category

## Definition

*The homotopy category of spaces  $\mathbf{hSpace}$  has the same objects as  $\mathbf{Space}$ , the morphisms are homotopy classes, i.e.*

$$\mathrm{Hom}_{\mathbf{hSpace}}(x, y) = \pi_0 \mathrm{Maps}(x, y)$$

We will see that most of functors which we use frequently in topology is representable in the homotopy category. The representability allows us to study spaces by studying morphisms. **Moreover, we get information of a space from certain diagrams in  $\mathbf{hSpace}$ .**



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# The blindness of homotopy category

For a space  $X$ ,  $X$  has much more information than  $\pi_0(X)$  clearly, hence  $\mathbf{hSpace}$  has less information than  $\mathbf{Space}$  by modulo homotopy.

## Question

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# The blindness of homotopy category

To measure the blindness more concretely, we need the following definition.

## Definition

*Let  $A$  be a small category, a commutative diagram (of  $A$ -shape) is a functor  $F: A \rightarrow \mathbb{S}\text{pace}$ ; a homotopy commutative diagram is a functor  $G: A \rightarrow \text{hSpace}$ .*

Now we may describe the blindness more specifically,

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# Example: (co)limits in homotopy category

An effective way to see the difference is to consider (co)limits of the diagrams.

## Example

Let's consider two diagrams

$$* \longleftarrow S^n \xrightarrow{i} D^{n+1} \quad (0.1)$$

$$* \longleftarrow S^n \longrightarrow * \quad (0.2)$$

where  $i$  is the inclusion of the boundary. Since  $D^{n+1}$  and  $*$  are isomorphic in  $\mathbf{hSpace}$ , these two diagrams are equivalent in  $\mathbf{hSpace}$ . However, the colimit of Diagram 0.1 in  $\mathbf{Space}$  is  $D^{n+1}/S^n \cong S^{n+1}$  while the colimit of Diagram 0.2 is just a single point  $*$ , which shows the difference.



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# The realizing problem

We have shown there is a loss of information when passing to homotopy category, now the question is how can we measure the deviation?

Question (The realization problem)

*Given a homotopy commutative diagram  $F: A \rightarrow \mathbf{hSpace}$ , can we lift the functor to  $\mathbf{Space}$ ? Namely, there is a functor  $G: A \rightarrow \mathbf{Space}$  such that the composition  $\pi \circ G: A \rightarrow \mathbf{hSpace}$  is natural isomorphic to  $F$ .*

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# Example: $G$ -spaces and homotopy $G$ -spaces

## Definition

*Suppose  $G$  is a group, the associated groupoid  $BG$  is a category with one object  $*$ , and  $\text{Hom}(x, x) := G$  where the composition rule is given by the group multiplication.*

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*A  $G$ -space is a functor  $BG \rightarrow \text{Space}$ . We may also say a space  $X$  is a  $G$ -space if there is a functor  $BG \rightarrow \text{Space}$  such that  $X$  is the image of  $*$ . Similarly, a homotopy  $G$ -space is a functor  $BG \rightarrow \text{hSpace}$ .*

Let  $X$  be a  $G$ -space, if  $f: Y \rightarrow X$  is a homotopy equivalence, then  $Y$  is a homotopy  $G$ -space. We may say  $X$  is a realization of  $Y$ .

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## Theorem (Cooke, 1978)

*A homotopy  $G$ -space can be realized by a  $G$ -space  $X$  if and only if the lifting problem 0.3 has a solution.*

$$\begin{array}{ccc} & & B\text{Aut}(Y) \\ & \nearrow & \downarrow B\tau \\ BG & \xrightarrow{B\alpha} & B\text{Aut}_0(Y) \end{array} \quad (0.3)$$

*where  $\text{Aut}(Y)$  be the group of automorphisms of  $Y$  in  $\text{Space}$ ,  $\text{Aut}_0(Y)$  be the group of automorphisms of  $Y$  in  $\text{hSpace}$  and  $\alpha: G \rightarrow \text{Aut}_0(Y)$  is determined by the homotopy group action.  $B$  is the functor of classifying space.*



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# Example: cup products and Steenrod squares

The Alexander-Whitney approximation  $D_0$  of the diagonal map  $D: X \rightarrow X \times X$  determines the cup product on  $X$ .

Let  $X \times X$  be a  $\mathbb{Z}/2$ -space given by  $T: (x, y) \mapsto (y, x)$ .

## Problem

*$D_0 \simeq D$ , but  $D$  is  $T$ -invariant while  $D_0$  is not! The following diagram is homotopy commutative but not strictly commutative!*

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If we let  $\mathbb{Z}/2$  act on  $X$  trivially, then  $D$  is a  $T$ -equivariant map while  $D_0$  is not! We may say  $D_0$  is a homotopy  $T$ -invariant.

## Question

*Can we realize Diagram 0.4 by cellular map or simplicial map?  
Namely, can we make it be a  $T$ -equivariant diagram?  
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## Answer

The answer to the first question is positive (details of the proof is in my undergraduate thesis). The following realization is

$$\begin{array}{ccc} S^\infty \times X & \xrightarrow{\phi} & X \times X \\ T \times \text{id} \downarrow & & \downarrow T \\ S^\infty \times X & \xrightarrow{\phi} & X \times X \end{array} \quad (0.5)$$

where  $T$  acts on  $S^\infty$  by reflection. Note that the diagram is **strictly commutative**;  $S^\infty$  is contractible, hence  $S^\infty \times X$  and  $X$  are homotopy equivalence.

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Since  $\phi: S^\infty \times X \rightarrow X \times X$  is  $T$ -equivariant, then by quotient the group action, we have

$$\bar{\phi}: \mathbb{R}P^\infty \times_{\mathbb{Z}/2} X \rightarrow X$$

When passing to  $\mathbb{Z}/2$ -cohomology, we have

$$\begin{aligned} \bar{\phi}^* = Sq : H^*(X; \mathbb{Z}/2) &\longrightarrow H^*(X; \mathbb{Z}/2)[t] \\ x &\longmapsto \sum Sq^i(x)t^i \end{aligned}$$

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Actually, the map  $\phi: S^\infty \times X \rightarrow X \times X$  carries much more information than  $D_0: X \rightarrow X \times X$ .

$D_0$  makes  $H^*(X)$  into a ring, while  $\phi$  makes the singular cochain complex  $C^\bullet(X)$  into an  $E_\infty$ -algebra.

$E_\infty$ -algebra structure carries much more information than cohomology ring!

## Theorem (Mandell)

*Suppose  $X, Y$  are simply connected spaces, a continuous map  $f: X \rightarrow Y$  induces a quasi-isomorphism between  $C^*(Y)$  and  $C^*(X)$  as  $E_\infty$  algebra, if and only if  $f$  is a weak homotopy equivalence.*

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# Homotopy coherence structures

Theorem (Dwyer-Kan-Smith,1989)

*A homotopy diagram has a realization of and only if it may be lifted to a **homotopy coherent diagram**.*

Example (Homotopy coherent structure on cup products)

- 1 *There exists a homotopy  $D_1$  from  $D_0$  to  $TD_0$ . In particular,  $TD_1$  is a homotopy from  $TD_0$  to  $D_1$ ;*
- 2 *There exists a homotopy  $D_2$  from  $D_1 + TD_1$  to the constant homotopy of  $D_1$ ;*
- 3  *$D_2 + TD_2$  is a homotopy from  $D_1 + TD_1$  to itself and it is also homotopy to the constant homotopy via  $D_3$ ;*
- 4 *...*

Finally, we have  $\{D_n\}_{n \geq 0} \implies S^\infty \times X \rightarrow X \times X$ .

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Finally, we have  $\{D_n\}_{n \geq 0} \implies S^\infty \times X \rightarrow X \times X$ .

# Homotopy coherence structures

## Theorem (Dwyer-Kan-Smith, 1989)

A homotopy diagram has a realization of and only if it may be lifted to a **homotopy coherent** diagram.

## Example (Homotopy coherent structure on cup products)

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*Let's consider a diagram*

$$\omega := 0 \longrightarrow 1 \longrightarrow 2 \longrightarrow 3 \longrightarrow 4 \longrightarrow \dots$$

*An  $\omega$ -shaped diagram in  $\mathbb{S}\text{pace}$  consists of space  $X_k$  for  $k \in \omega$  and morphisms  $f_{i,k}: X_i \rightarrow X_k$  for  $i < k$ .*

*If it is a homotopy commutative diagram, then for any  $i < j < k$ , there is a homotopy  $h_{i,j,k}: f_{i,k} \simeq f_{j,k} \circ f_{i,j}$ .*

*This process specifies a path in  $\text{Maps}(X_i, X_k)$  from vertex  $f_{i,k}$  to  $f_{j,k} \circ f_{i,j}$ .*

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there is a 2-homotopy to filling the square in  $\text{Maps}(X_i, X_l)$ . Similarly, for  $i < j < k < l < m$ , there are twelve paths and six 2-squares in  $\text{Maps}(X_i, X_m)$  and then we specify a 3-homotopy to filling in this cube.

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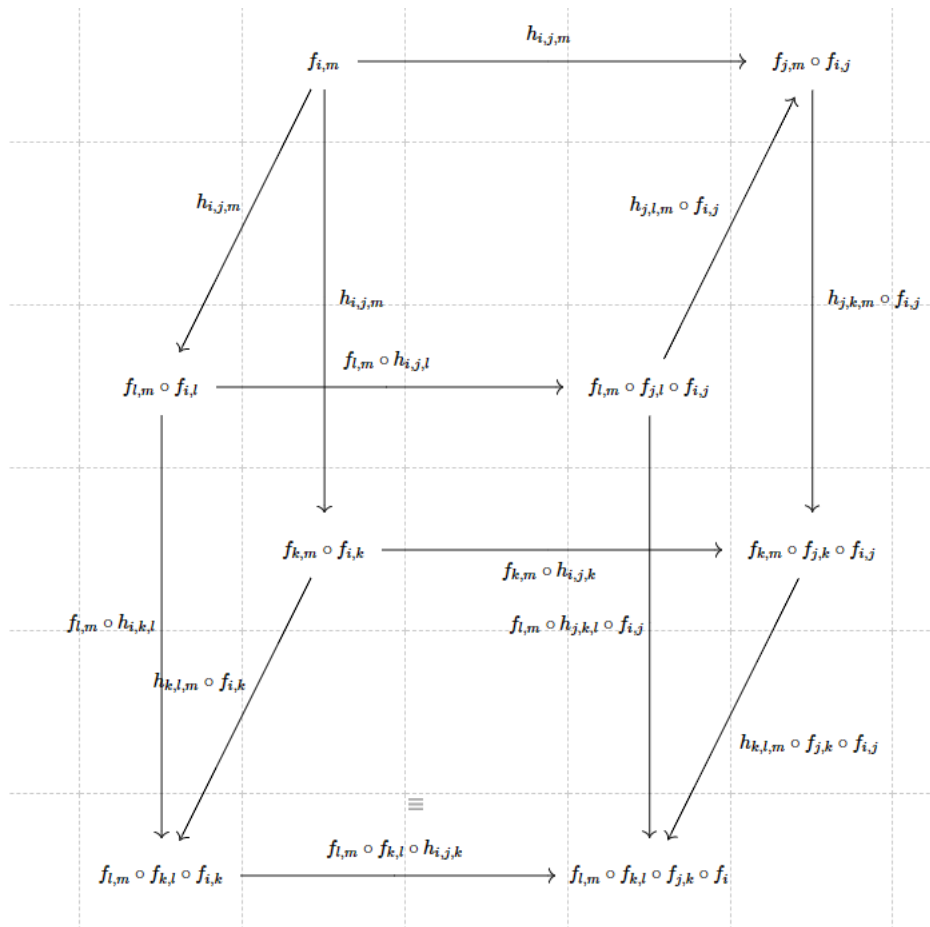
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*Proceeding the procedure, homotopy coherence means that all such  $n$ -homotopies exists! In other words, any such  $n$ -cubes in the mapping spaces can be filled by higher homotopies.*

Even in this simple case of  $\omega$ , the data of homotopy coherence is much richer than the data of homotopy commutativity. The existence of higher homotopies carries a lot of data.

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Example (A homotopy commutative diagram that is not homotopy coherent)

Let  $p$  be the Hopf fibration,  $i$  be inclusion of fiber at the based point and  $n$  is a degree map  $e^{i\theta} \mapsto e^{in\theta}$ :

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# Why we need $\infty$ -categories?

## Motivation

*If we just modulo homotopy directly, we will lose the data of homotopy coherence i.e. the higher homotopies. It is very complicated to describe the phenomenon by ordinary category and homotopy category.  $\infty$ -categories provides a new framework to describe the homotopy coherence!*

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## Definition

Let  $X$  be a topological space, the fundamental groupoid  $\pi_{\leq 1}(X)$  associated to  $X$  is a category whose objects are points in  $X$ , morphisms between  $x, y \in X$  are **homotopy classes of paths from  $x$  to  $y$**  and **the composition rule is given by path multiplications**.

## Remark

For each  $x$ , the automorphism group  $\text{Aut}_{\pi_{\leq 1}(X)}(x)$  is  $\pi_1(X, x)$ .

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*Notice that when defining the fundamental groupoid, we modulo the homotopy relations of paths, which leads to loss of information. What will happen if we do not modulo the homotopy relation?*

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*If we do not modulo the homotopy relation, namely, let objects be points of  $X$ , morphisms be paths in  $X$  and composition rule be path multiplication, that will be **NOT** a category! The reason is the failure of associativity law! Though it cannot be a category, it is can be an  $\infty$ -category!*

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## Definition (Informal definition of $\infty$ -groupoids)

The  $\infty$ -groupoid  $\pi_{\leq \infty}(X)$  has the following data:

- ① objects are points;
- ② morphisms are paths;
- ③ 2-morphisms are homotopies;
- ④ 3-morphisms are 2-homotopies;
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# $\infty$ -groupoid and Grothendieck homotopy hypothesis

## Definition (Informal definition of $\infty$ -groupoids)

The  $\infty$ -groupoid  $\pi_{\leq \infty}(X)$  has the following data:

- ① objects are points;
- ② morphisms are paths;
- ③ 2-morphisms are homotopies;
- ④ 3-morphisms are 2-homotopies;
- ⑤ higher morphisms are higher homotopies...

**Grothendieck homotopy hypothesis: spaces and  $\infty$ -groupoids should be the same!**