

## One-dimensional Persistence

$$\begin{array}{c}
 X_0 \rightarrow X_1 \rightarrow X_2 \rightarrow \cdots \rightarrow X_n \rightarrow \cdots \rightarrow \\
 \downarrow H_k \\
 H_k(X_0) \rightarrow H_k(X_1) \rightarrow H_k(X_2) \rightarrow \cdots \rightarrow H_k(X_n) \rightarrow \cdots
 \end{array}$$

A graded module  $M$  over a graded ring  $R$  is a module equipped with a directed decomposition,  $M = \bigoplus_i M_i$ ,  $i \in \mathbb{Z}$ , s.t. the action of  $R$  on  $M$  is defined by bilinear pairings  $R_n \otimes M_m \rightarrow M_{n+m}$ .

$M = \bigoplus_{i \geq 0} H_k(X_i)$  is a graded  $R[\mathbb{Z}]$ -module.

Specially, we consider graded  $k[\mathbb{Z}]$ -module  $M$  where  $k$  is a field.

Def. A persistence module  $M$  is a family of  $R$ -modules  $M_i$ , together with homomorphisms  $\varphi_i: M_i \rightarrow M_{i+1}$

Def. A persistence module  $\{M_i, \varphi_i\}$  is of finite type if each component is finitely generated  $R$ -module, and  $\varphi_i$  are isomorphisms for  $i \geq m$  for some integer  $m$ .

Correspondence:

$\{M_i, \varphi_i\}_{i \geq 0}$  is a persistence module over  $R$ . We equip  $R[\mathbb{Z}]$  with the standard grading and define a graded module over  $R[\mathbb{Z}]$  by  $\alpha(M) = \bigoplus_{i \geq 0} M_i$ , and the action of is given by

$$t \cdot (m_0, m_1, m_2, \dots) = (0, \varphi_0(m_0), \varphi_1(m_1), \varphi_2(m_2), \dots)$$

Then the category of persistence modules of finite type over  $R$

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the category of finitely generated non-negatively graded modules over  $R[\mathbb{Z}]$

Classification:

Consider persistence modules of finite type over  $k$ .

Because  $k[t]$  is PID,  $\mathcal{M} \cong \left( \bigoplus_{i=1}^n \Sigma^{\alpha_i} k[t] \right) \oplus \left( \bigoplus_{j=1}^m \Sigma^{\gamma_j} k[t]/(t^{n_j}) \right)$

( $\Sigma^\alpha$  denotes  $\alpha$ -shift upward in grading)

Parameterization:

$$\Sigma^a k[t] \rightsquigarrow [a, +\infty)$$

$$\Sigma^b k[t]/(t^c) \rightsquigarrow [b, b+c)$$

Multidimensional Persistence

finite type.

Def  $\vec{u}, \vec{v} \in \mathbb{N}^n$ ,  $\vec{u} \lesssim \vec{v}$  if  $u_i \leq v_i$  all  $i$

multiset is a set within which an element may appear multiple times.

$A_n =: k[x_1, \dots, x_n]$  is a  $n$ -graded ring,  $A_n$  is graded by

$$A_{\vec{v}} = \bar{x}^{\vec{v}} \quad \bar{x} = (x_1, \dots, x_n) \quad \vec{v} = (v_1, \dots, v_n) \quad \bar{x}^{\vec{v}} = x_1^{v_1} x_2^{v_2} \dots x_n^{v_n}$$

an  $n$ -graded module over an  $n$ -graded module over an  $n$ -graded ring  $R$  is an Abelian group  $\mathcal{M}$  equipped with a decomposition  $\mathcal{M} \cong \bigoplus_{\vec{v}} \mathcal{M}_{\vec{v}}$ ,  $\vec{v} \in \mathbb{N}^n$  together with a  $R$ -module structure so that  $R_{\vec{u}} \cdot \mathcal{M}_{\vec{v}} \subseteq \mathcal{M}_{\vec{u}+\vec{v}}$ .

Def.  $X$  is multifiltered if we are given a family of subspaces  $\{X_{\vec{v}} \subseteq X\}_{\vec{v} \in \mathbb{N}^n}$  with inclusions  $X_{\vec{u}} \subseteq X_{\vec{w}}$  whenever  $\vec{u} \lesssim \vec{w}$  s.t. the diagrams commute.

$$\begin{array}{ccc} X_{\vec{u}} & \longrightarrow & X_{\vec{v}_1} \\ \downarrow & & \downarrow \\ X_{\vec{v}_2} & \longrightarrow & X_{\vec{w}} \end{array} \quad \left( \forall \vec{u} \lesssim \begin{array}{c} \vec{v}_1 \\ \vec{v}_2 \end{array} \lesssim \vec{w} \right)$$

Def. A persistence module  $\mathcal{M}$  is a family of  $k$ -modules  $\{\mathcal{M}_{\vec{v}}\}_{\vec{v}}$  together with homomorphisms  $\varphi_{\vec{u}, \vec{v}}: \mathcal{M}_{\vec{u}} \rightarrow \mathcal{M}_{\vec{v}}$  for all  $\vec{u} \lesssim \vec{v}$  s.t.

$$\varphi_{\vec{u}, \vec{v}} \circ \varphi_{\vec{v}, \vec{w}} = \varphi_{\vec{u}, \vec{w}} \quad \text{whenever} \quad \vec{u} \lesssim \vec{v} \lesssim \vec{w}.$$

Def. Given a persistence module  $\mathcal{M}$ , we define an  $n$ -graded module over  $A_n$  by

$$\alpha(\mathcal{M}) = \bigoplus_{\vec{v}} \mathcal{M}_{\vec{v}}$$

where the  $k$ -module structure is the direct sum structure, and we require that  $\chi^{\vec{v}-\vec{u}}: \mathcal{M}_{\vec{u}} \rightarrow \mathcal{M}_{\vec{v}}$  is  $\varphi_{u,v}$  whenever  $\vec{u} \preceq \vec{v}$

Correspondence:

Thm. the category of multidimensional persistence modules f.t.



the category of  $n$ -graded modules over  $A_n$  f.t.

Classification:

Def.  $n$ -graded set  $(X, \varphi)$  where  $X$  is a set and  $\varphi: X \rightarrow \mathbb{Z}^n$ .

the map  $f$  of  $n$ -graded sets:

$$\begin{array}{ccc}
 X & & \varphi \\
 \downarrow f & \searrow & \downarrow \\
 Y & \xrightarrow{\varphi} & \mathbb{Z}^n
 \end{array}$$

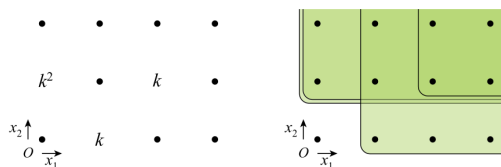
For any  $n$ -graded module  $\mathcal{M}$  over  $A_n$   $H := \bigcup_{\vec{v} \in \mathbb{Z}^n} \mathcal{M}_{\vec{v}}$

A free  $A_n$ -module on the graded set  $(X, \varphi)$   $F$

for any  $n$ -graded  $A_n$ -module  $\mathcal{M}$  and map of  $n$ -graded sets  $\theta: (X, \varphi) \rightarrow H(\mathcal{M})$ , there is a unique homomorphism  $\lambda: F \rightarrow \mathcal{M}$  of  $n$ -graded  $A_n$ -modules so that the diagram

$$\begin{array}{ccc}
 (X, \varphi) & \xrightarrow{\eta} & H(F) \\
 & \searrow \theta & \downarrow H(\lambda) \\
 & & H(\mathcal{M})
 \end{array}$$

commutes



Def. the type of an  $n$ -graded vector space  $V$  is the unique multiset which is isomorphic to a graded set basis for  $V$ , denote it  $\xi(V)$ .

Similarly, we can define  $\xi(F)$  for free object  $F$ .

For any  $n$ -graded vector space  $V$ , we have a free  $n$ -graded module  $F(V)$ , s.t.  $k \otimes_{A_n} F(V) \cong V$

For any multiset  $\xi$  of  $N^n$ , we can also consider  $V(\xi)$  and  $F(\xi)$

For any  $n$ -graded module  $M$ , consider the minimal free resolution of  $M$

$$\cdots \rightarrow F_1 \rightarrow F_0 \rightarrow M \rightarrow 0$$

$$\xi_0(M) := \xi(F_0) \quad \xi_1(M) := \xi(F_1)$$

$$S(F, \xi) := \{L \mid L \text{ is a } A_n\text{-submodule and } \xi(L) = \xi_i\}$$

$$I(\xi_0, \xi_1) := \{[M] \text{ (isomorphism class)} \mid \xi_0(M) = \xi_0, \xi_1(M) = \xi_1\}$$

$$\text{We have map } \varrho: S(F_0, \xi_1) \rightarrow I(\xi_0, \xi_1)$$

$$L \mapsto [F_0/L]$$

$$\text{Thm. bijection: } \{\text{the orbits of } \text{Aut}(F_0) \curvearrowright S(F_0, \xi_1)\} \xrightarrow{\pm 1} I(\xi_0, \xi_1)$$

Parameterization (for  $S(F, \xi_1)$ )

The goal is to demonstrate that  $\text{ARR}_{\xi, \delta}(F)$  is in bijective correspondence with the set of points of a quasi-projective variety over the field  $k$

$$\textcircled{1} \quad \xi = (V, \alpha) \quad V \subseteq N^n \quad \alpha: V \rightarrow N \quad \text{i.e. } \xi \text{ is a multiset}$$

$$\delta: V \rightarrow \mathbb{Z} \text{ be any function.}$$

$$\text{ARR}_{\xi, \delta}(F) = \{\text{assignments } v \rightarrow L_v \mid v \in V \quad L_v \text{ is a } k\text{-linear subspace}\}$$

of  $F_v$  satisfying the three conditions:

$$1. \vec{v}' \leq \vec{v} \Rightarrow \chi^{\vec{v}-\vec{v}'} L_{\vec{v}'} \subseteq L_{\vec{v}}$$

$$2. \dim_{\mathbb{k}}(L_{\vec{v}}) = \delta(\vec{v})$$

$$3. \dim_{\mathbb{k}}(L_{\vec{v}} / \sum_{\vec{v}' < \vec{v}} \chi^{\vec{v}-\vec{v}'} L_{\vec{v}'} ) = \alpha(\vec{v}) \text{ for all } \vec{v} \in V.$$

(depict submodule  $L$  of  $\mathcal{M}$ )

$$\Rightarrow \text{ARR}_{\xi, \delta}(F) \subseteq \mathcal{E} = \prod_{\vec{v} \in V} \text{Gr}_{\delta(\vec{v})}(F_{\vec{v}})$$

$$\textcircled{2} \quad F = \bigoplus_{\vec{v}} A_{\mathbb{k}}(L_{\vec{v}}) \quad \xi = (V, \alpha)$$

Choose a "large"  $\vec{v}^*$ , s.t.  $\vec{v}^* \succeq \vec{v}$

$$F_{\vec{v}} \cong \chi^{\vec{v}^*-\vec{v}} \cdot F_{\vec{v}^*} \subseteq F_{\vec{v}^*}$$

$$\cup \quad L_{\vec{v}} \cong L_{\vec{v}^*} \cup$$

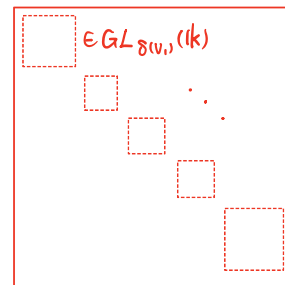
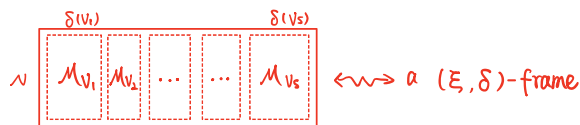
$$\text{Condition: } 1. L_{\vec{v}}^* \subseteq \chi^{\vec{v}^*-\vec{v}} F_{\vec{v}^*}$$

$$2. \text{ If } \vec{v} \leq \vec{v}', \text{ then } L_{\vec{v}}^* \subseteq L_{\vec{v}'}^*.$$

$$3. \dim_{\mathbb{k}}(L_{\vec{v}}^*) = \delta(\vec{v})$$

$$4. \dim_{\mathbb{k}}(L_{\vec{v}}^* / \sum_{\vec{v}' \leq \vec{v}} L_{\vec{v}'}^*) = \alpha(\vec{v}) \text{ for any } \vec{v} \in V.$$

$$\textcircled{2} \quad N = \dim(F_{\vec{v}^*})$$



1.  $L_v^* \subseteq G_v$  for all  $v$ : This condition is already accounted for with the requirement that the blocks of  $M_v$  corresponding to the set  $B(v')$  is zero if  $\mathbb{V}$ .
2. If  $v \leq v'$ , then  $L_v^* \subseteq L_{v'}^*$ : This condition can be reinterpreted as the requirement that the  $N \times (\delta(v) + \delta(v'))$  matrix

$$\mu(v, v') = [M_v \mid M_{v'}]$$

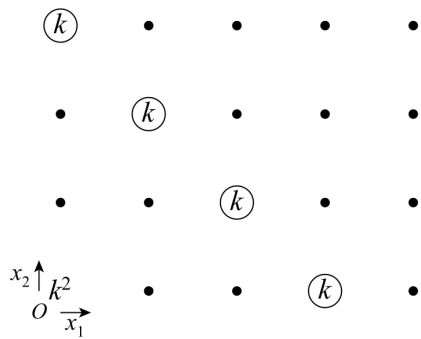
has rank  $\delta(v')$ , or equivalently that all its  $(\delta(v') + 1) \times (\delta(v') + 1)$  minors vanish. This is clearly an algebraic condition, invariant under the group action.

3.  $\dim_k(L_v^*) = \delta(v)$ : This condition is already accounted for in the injectivity condition defining the variety of frames.
4.  $\dim_k(L_v^* / \sum_{v' < v} L_{v'}^*) = \alpha(v)$  for all  $v \in V$ : This condition can be reinterpreted as the requirement that the  $N \times (\sum_{v' < v} \delta(v'))$  matrix

$$\lambda(v) = [M_{v'_1} \mid M_{v'_2} \mid \dots \mid M_{v'_j}],$$

where  $\{v'_1, \dots, v'_j\}$  is an enumeration of all  $v_i$ 's for which  $v_i < v$ , has rank exactly  $\delta(v) - \alpha(v)$ . This means that this set can be obtained as the action invariant Zariski closed set for which all the  $(\delta(v) - \alpha(v) + 1) \times (\delta(v) - \alpha(v) + 1)$  minors of  $\lambda(v)$  vanish, and removing from it the invariant closed Zariski closed set for which all the  $(\delta(v) - \alpha(v)) \times (\delta(v) - \alpha(v))$  minors vanish.

Example .  $\xi_0 = \{(0,0), 2\}$   $\xi_1 = \{(2,0), (1), (2,1), (1,2), (1), (0,2), (1)\}$



$$GL(F(\xi_0)) = GL(k^2) = GL_2(k),$$

$$GL(F(\xi_0)) \curvearrowright S(F_0, \xi_1) \text{ orbits} \iff I(\xi_0, \xi_1)$$

$$\parallel \parallel$$

$$GL_2(k) \quad \parallel \text{II} \text{Gr}_{\dim F(\xi_0)}(F(\xi_0)_v)$$

$$\parallel$$

$$\text{II} \text{Gr}_1(k^2) = \mathbb{P}^1(k)^4$$

$$\Rightarrow GL_2(k) \curvearrowright \mathbb{P}^1(k)^4$$

Let  $l_i \in \mathbb{P}^1(k)$ , then  $(l_1, l_2, l_3, l_4) \in \mathbb{P}^1(k)^4$

We consider the subset  $\Omega \subseteq \mathbb{P}^1(k)^4$   $\Omega = \{(l_1, l_2, l_3, l_4) \mid l_i \neq l_j \text{ if } i \neq j\}$

$$\Rightarrow (l_1, l_2, l_3, l_4) \Rightarrow (x\text{-axis}, y\text{-axis}, \{x=y\}, l)$$

$$\Rightarrow \Omega \xrightarrow{1-1} \mathbb{P}^1 - \{0, \infty, 1\} = k - \{0, 1\}.$$